

**Part A. Expenditures and Provision Method**

OMB NO.: 0970-0234

EXPIRATION DATE: 11/30/2017

|                                                      |                                                                                      |                               |
|------------------------------------------------------|--------------------------------------------------------------------------------------|-------------------------------|
| STATE: North Carolina                                | FISCAL YEAR: 2016                                                                    | REPORT PERIOD: 07/15 to 06/16 |
| Contact Person: <a href="#">Hank Bowers</a>          | Phone Number: <a href="#">919-527-6265</a>                                           |                               |
| Title: <a href="#">Chief, Performance Management</a> | E-Mail Address: <a href="mailto:Hank.Bowers@dhhs.nc.gov">Hank.Bowers@dhhs.nc.gov</a> |                               |
| Agency: <a href="#">Division of Social Services</a>  | Submission Date: <a href="#">01/20/2017</a>                                          |                               |

| Service Supported with SSBG Expenditures                     | SSBG Expenditures |                              | Expenditures of All Other Federal, State and Local funds** | Total Expenditures | Provision Method |         |
|--------------------------------------------------------------|-------------------|------------------------------|------------------------------------------------------------|--------------------|------------------|---------|
|                                                              | SSBG Allocation   | Funds transferred into SSBG* |                                                            |                    | Public           | Private |
| 1 Adoption Services                                          | 309,364.00        | 776,074.00                   | 6,811,949.00                                               | 7,897,387.00       | x                | x       |
| 2 Case Management                                            | 2,030,408.00      | -                            | 786,991.00                                                 | 2,817,399.00       | x                | x       |
| 3 Congregate Meals                                           | -                 | -                            | -                                                          | -                  |                  |         |
| 4 Counseling Services                                        | 3,202,641.00      | -                            | 366,971.00                                                 | 3,569,612.00       | x                | x       |
| 5 Day Care--Adults                                           | 494,697.00        | -                            | 14,854.00                                                  | 509,551.00         | x                | x       |
| 6 Day Care--Children                                         | -                 | -                            | -                                                          | -                  |                  |         |
| 7 Education and Training Services                            | -                 | -                            | -                                                          | -                  |                  |         |
| 8 Employment Services                                        | 79.00             | -                            | -                                                          | 79.00              | x                | x       |
| 9 Family Planning Services                                   | -                 | -                            | -                                                          | -                  |                  |         |
| 10 Foster Care Services--Adults                              | 141,589.00        | -                            | 4,854.00                                                   | 146,443.00         | x                | x       |
| 11 Foster Care Services--Children                            | 1,858,565.00      | 6,422,773.00                 | 78,777,211.00                                              | 87,058,549.00      | x                | x       |
| 12 Health-Related Services                                   | 846,158.00        | -                            | 7,590,121.00                                               | 8,436,279.00       | x                | x       |
| 13 Home-Based Services                                       | 2,457,928.00      | -                            | 31,919,775.00                                              | 34,377,703.00      | x                | x       |
| 14 Home-Delivered Meals                                      | 15,101.00         | -                            | -                                                          | 15,101.00          | x                | x       |
| 15 Housing Services                                          | 1,921.00          | -                            | -                                                          | 1,921.00           | x                |         |
| 16 Independent/Transitional Living Services                  | 105,155.00        | 8,060.00                     | 3,799,654.00                                               | 3,912,869.00       | x                |         |
| 17 Information & Referral                                    | -                 | -                            | -                                                          | -                  |                  |         |
| 18 Legal Services                                            | -                 | -                            | -                                                          | -                  |                  |         |
| 19 Pregnancy & Parenting                                     | 4,169.00          | -                            | 3,593.00                                                   | 7,762.00           | x                | x       |
| 20 Prevention & Intervention                                 | 48,058.00         | 4,243.00                     | 11,539,730.00                                              | 11,592,031.00      | x                | x       |
| 21 Protective Services--Adults                               | 12,439,584.00     | -                            | 911,057.00                                                 | 13,350,641.00      | x                | x       |
| 22 Protective Services--Children                             | 1,191,081.00      | 3,912,312.00                 | 156,652,138.00                                             | 161,755,531.00     | x                |         |
| 23 Recreation Services                                       | -                 | -                            | -                                                          | -                  |                  |         |
| 24 Residential Treatment                                     | -                 | -                            | -                                                          | -                  |                  |         |
| 25 Special Services--Disabled                                | 9,081,932.00      | -                            | 176,054,320.00                                             | 185,136,252.00     | x                |         |
| 26 Special Services--Youth at Risk                           | -                 | -                            | -                                                          | -                  |                  |         |
| 27 Substance Abuse Services                                  | 1,259,524.00      | -                            | 64,765,582.00                                              | 66,025,106.00      | x                |         |
| 28 Transportation                                            | 468,409.00        | -                            | 10,528,127.00                                              | 10,996,536.00      | x                | x       |
| 29 Other Services***                                         | 6,492,383.00      | -                            | 15,252,285.00                                              | 21,744,668.00      | x                | x       |
| 30 SUM OF EXPENDITURES FOR SERVICES                          | 42,448,746.00     | 11,123,462.00                | 565,779,212.00                                             | 619,351,420.00     |                  |         |
| 31 Administrative Costs                                      | 6,318,792.00      | 658,349.00                   |                                                            |                    |                  |         |
| 32 SUM OF EXPENDITURES FOR SERVICES AND ADMINISTRATIVE COSTS | 48,767,538.00     | 11,781,811.00                |                                                            |                    |                  |         |

\* From which block grant(s) were these funds transferred? TANF

\*\* Please list the sources of these funds: Federal Grants, State Appropriations and County Funds (See Attachment for details)

\*\*\* Please list other services:

# Part B. Recipients

OMB NO.: 0970-0234

EXPIRATION DATE: 11/30/2017

|                       |
|-----------------------|
| STATE: North Carolina |
| FISCAL YEAR: 2016     |

| Service Supported with SSBG Expenditures    | Children | Adults                        |                             |                       | Total Adults | Total   |
|---------------------------------------------|----------|-------------------------------|-----------------------------|-----------------------|--------------|---------|
|                                             |          | Adults Age 59 Years & Younger | Adults Age 60 Years & Older | Adults of Unknown Age |              |         |
| 1 Adoption Services                         | 19,171   | 5,411                         | 0                           | 0                     | 5,411        | 24,582  |
| 2 Case Management                           | 44       | 972                           | 1,616                       | 0                     | 2,588        | 2,632   |
| 3 Congregate Meals                          | 0        | 0                             | 0                           | 0                     | 0            | 0       |
| 4 Counseling Services                       | 1,095    | 4,335                         | 5,257                       | 0                     | 9,592        | 10,687  |
| 5 Day Care--Adults                          | 0        | 326                           | 1,079                       | 0                     | 1,405        | 1,405   |
| 6 Day Care--Children                        | 0        | 0                             | 0                           | 0                     | 0            | 0       |
| 7 Education and Training Services           | 0        | 0                             | 0                           | 0                     | 0            | 0       |
| 8 Employment Services                       | 0        | 1                             | 0                           | 0                     | 1            | 1       |
| 9 Family Planning Services                  | 0        | 0                             | 0                           | 0                     | 0            | 0       |
| 10 Foster Care Services--Adults             | 0        | 145                           | 371                         | 0                     | 516          | 516     |
| 11 Foster Care Services--Children           | 19,170   | 1,362                         | 3                           | 0                     | 1,365        | 20,535  |
| 12 Health-Related Services                  | 209      | 2,416                         | 7,021                       | 0                     | 9,437        | 9,646   |
| 13 Home-Based Services                      | 433      | 541                           | 8,858                       | 0                     | 9,399        | 9,832   |
| 14 Home-Delivered Meals                     | 0        | 73                            | 698                         | 0                     | 771          | 771     |
| 15 Housing Services                         | 12       | 135                           | 119                         | 0                     | 254          | 266     |
| 16 Independent/Transitional Living Services | 2,331    | 3,386                         | 6                           | 0                     | 3,392        | 5,723   |
| 17 Information & Referral                   | 0        | 0                             | 0                           | 0                     | 0            | 0       |
| 18 Legal Services                           | 0        | 0                             | 0                           | 0                     | 0            | 0       |
| 19 Pregnancy & Parenting                    | 11       | 153                           | 0                           | 0                     | 153          | 164     |
| 20 Prevention & Intervention                | 7,219    | 648                           | 21                          | 0                     | 669          | 7,888   |
| 21 Protective Services--Adults              | 0        | 10,480                        | 24,454                      | 0                     | 34,934       | 34,934  |
| 22 Protective Services--Children            | 151,590  | 0                             | 0                           | 0                     | 0            | 151,590 |
| 23 Recreation Services                      | 0        | 0                             | 0                           | 0                     | 0            | 0       |
| 24 Residential Treatment                    | 0        | 0                             | 0                           | 0                     | 0            | 0       |
| 25 Special Services--Disabled               | 4,509    | 68,574                        | 6,637                       | 0                     | 75,211       | 79,720  |
| 26 Special Services--Youth at Risk          | 0        | 0                             | 0                           | 0                     | 0            | 0       |
| 27 Substance Abuse Services                 | 304      | 35,280                        | 1,038                       | 0                     | 36,318       | 36,622  |
| 28 Transportation                           | 12,096   | 13,063                        | 6,389                       | 0                     | 19,452       | 31,548  |
| 29 Other Services***                        | 1,236    | 5,129                         | 3,860                       | 0                     | 8,989        | 10,225  |
| 30 SUM OF RECIPIENTS OF SERVICES            | 219,430  | 152,430                       | 67,427                      | -                     | 219,857      | 439,287 |

## SOCIAL SERVICES BLOCK GRANT (SSBG) POST-EXPENDITURE REPORT

### North Carolina Annual Report of Services Funded with SSBG, SFY 2016

\*\* Please list the sources of these (other Federal, State and Local) funds:

Access to Recovery Grant (CFDA# 93.243)  
Advancing Strategic Framework Grant (CFDA# 93.243)  
Chafee Foster Care Independence Act (Title IV-E, Social Security Act)

Community Mental Health Block Grant (MHBG; CFDA# 93.958)  
Harold Rogers Prescription Drug Monitoring Grant (CFDA# 16.754)  
Jail Diversion and Trauma Grant (CFDA# 93.243)  
Local/County funds  
NC Home and Community Care Block Grant  
NC Systems of Care Grant (CFDA# 93.104)  
Olmstead Grant (CFDA# 16.105)  
Projects for Assistance in Transition from Homelessness Grant (PATH; CFDA# 93.150)

Screening, Brief Intervention, and Referral to Treatment Grant (SBRIT; CFDA# 93.243)  
State Appropriations  
Substance Abuse Prevention and Treatment Block Grant (SAPTBG; CFDA# 93.959)  
TANF (Title IV-A, Social Security Act)  
Title III-B, Older Americans Act  
Title IV-B1, Social Security Act  
Title IV-B2, Social Security Act  
Title IV-E, Social Security Act  
Title XIX, Social Security Act  
Traumatic Brain Injury Grant (TBI; CFDA# 93.234)

#### Definition of "Other Services"

|                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|-----------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Individual and Family Adjustment Services - Representative Payee      | Services offered to individuals for whom the DSS has been appointed the representative payee, including assurance of the appropriate use of income for the client's needs, and strengthening the client's basic skills in money management.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| Individual and Family Adjustment Services - Paraprofessional Services | Services provided to families by paraprofessionals in support of the Case Plan. Examples of direct services are: supervising visits between parent and child; supervising children (while parents attend therapy, parenting classes, etc.) in absence of caregiver; teaching, coaching, and modeling parenting skills, home management skills, and communication skills (with resources to parents and caretakers and placement providers); teaching, coaching, modeling advocacy skills (especially as related to housing, school system, services agencies); supporting case management of neglected, abused, dependent, delinquent children; transporting/supervising/providing in loco parentis to children going to doctor visits or schools; communicating information between services providers and case managers/placement providers; and testifying in court related to specific assigned duties and client interaction (supervised visits, school visits, etc.)                                                                                             |
| Services Intake                                                       | The function of designated service staff who are assigned to handle the task of initiating clients into the service delivery system of the agency. Activities include receiving requests for services; exploring with the client his request in terms of the services available; taking applications; and such elements of case management as establishing eligibility for services, initiating the Service Client Information Record and certifying clients for purchased services. The extent to which Services Intake performs any of the above case management activities is an agency decision and is related to how broadly the agency wishes to define the intake function. Also included may be working with clients to apply eligibility criteria and determine eligibility for another agency's resources, e.g., crippled children, free school lunches. As a part of assessing with the client his request for help and the nature of his need, the designated intake staff may provide Information and Referral as a part of the tasks assigned to Intake. |

Notes: Expenditures for Other Services also include expenditures for "Unreported Services", that is, expenditures not tracked to specific services (due to reimbursement/coding issues.)

As of FFY 2010, Guardianship Services are reported under Protective Services categories.

As of FFY 2010, Individual and Family Adjustment Services are reported under Counseling Services.